

TO DACS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number: 10/810,447  
First Named Inventor: Xiao-Yuan Hou  
Filing Date: 03/26/2004  
Title: Organic Buffer layer for organic light-emitting device and producing method thereof

Examiner: Guharay, Karabi  
Group Art Unit: 2879  
Atty's Docket No.: USP2343C/SH030-FDU

Date: November 2, 2006

**Petition for Revival of an Application for Patent  
Abandoned Unavoidably Under 37 CFR 1.137(a)**

MS Petition  
Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450.

The applicant respectfully submits the petition for revival of the above identified application for patent abandoned unavoidably under 37 CFR 1.137(a). The following are enclosed for submission of the petition:

1. Form PTO/SB/61.
2. A copy of the previously submitted papers, including Response to Restriction Requirement, Petition for Extension of Time, return postcard, and US Postal Service Signature confirmation Receipt.
3. A copy of the Track and Confirm page for the mail of the response.
4. Payment in the amount of US\$250.00 by check. This amount is believed to be correct. However, the Commissioner is hereby authorized to charge any deficiency from or credit any overpayment to the Deposit Account No.: 502111 (David and Raymond Patent Firm). A duplicate copy of this letter is enclosed.

The applicant has submitted the response to restriction requirement with petition for extension of time on 04/26/2006. A certificate of mailing has been included in the submitted papers and a mailing receipt with postmark dated 04/26/2006 has been received from the US Post Office. The tracking records from the US Post Office shows that the mail was delivered to the USPTO (Alexandria, VA 22313) on 04/28/2006 and was signed for by W McDougal. Therefore, the non-receipt of the mail of the response to the restriction requirement in the USPTO is unexpected and the abandonment of the application is unavoidable.

Please accept the above documents and/or payment and grant the petition for this immediate application. Thank you for your assistance.

Respectfully submitted,

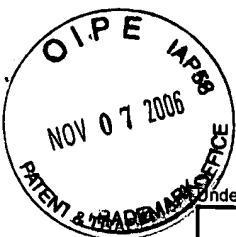
  
Raymond Y. Chan, Reg. No.: 37,484  
108 N. Ynez Avenue, Suite 128,  
Monterey Park, CA 91754.  
Tel.: (626) 571-9812/ Fax: (626) 571-9813

**Certification of Mailing**

I certify that this correspondence is being deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "MS Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450." on the date below.

Signature:   
Person Signing: Raymond Y. Chan

Date: 11/07/2006

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)**

Docket Number (Optional)

USP2343C/SH030-FDU

First Named Inventor: Xiao-Yuan Hou

Art Unit: 2879

Application Number: 10/810,447

Examiner: Guharay, Karabi

Filed: 03/26/2004

Title: Organic Buffer Layer for Organic Light-emitting Device  
and producing Method Thereof

Attention: Office of Petitions

**Mail Stop Petition**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

NOTE: If information or assistance is needed in completing this form, please contact  
Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.**

NOTE: A grantable petition requires the following items:

- (1) Petition fee.
- (2) Reply and/or issue fee.
- (3) Terminal disclaimer with disclaimer fee – required for all utility and plant applications filed before June 8, 1995, and for all design applications; and
- (4) Adequate showing of the cause of unavoidable delay.

**1. Petition fee**☒ Small entity – fee \$ 250 (37 CFR 1.17(l)). Applicant claims small entity status.  
See 37 CFR 1.27.☐ Other than small entity – fee \$ \_\_\_\_\_ (37 CFR 1.17(l)).**2. Reply and/or fee**A The reply and/or fee to the above-noted Office action in the form of  
Response to Restriction Req. (identify the type of reply):☒ has been filed previously on 04/26/2006☒ is enclosed herewith.

B The issue fee of \$ \_\_\_\_\_

☐ has been filed previously on \_\_\_\_\_☐ is enclosed herewith.

[Page 1 of 3]

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)**

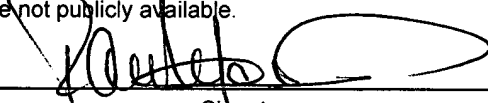
## 3. Terminal disclaimer with disclaimer fee

- ☒ Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- ☐ A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ \_\_\_\_\_ for a small entity or \$ \_\_\_\_\_ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

4. An adequate showing of the cause of the delay, and that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition under 37 CFR 1.137(a) was unavoidable, is enclosed.

## **WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

  
\_\_\_\_\_  
Signature

11/03/2006  
Date

Raymond Y. Chan  
Typed or printed name

37,484  
Registration Number, if applicable

108 N. Ynez Avenue, Suite 128  
Address  
Monterey Park, CA 91754, US  
Address

626-571 9812  
Telephone Number

Enclosure ☒ Fee Payment

☒ Reply

☐ Terminal Disclaimer Form

☐ Additional sheets containing statements establishing unavoidable delay

☐ \_\_\_\_\_

## **CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))**

I hereby certify that this correspondence is being:

☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to **Mail Stop Petition**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

11/03/2006  
Date

  
\_\_\_\_\_  
Signature

Raymond Y. Chan  
Typed or printed name of person signing certificate

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED  
UNAVOIDABLY UNDER 37 CFR 1.137(a)**

NOTE: The following showing of the cause of unavoidable delay must be signed by all applicants or by any other party who is presenting statements concerning the cause of delay.



Signature

11/03/2006

Date

Raymond Y. Chan

Typed or printed name

37,484

Registration Number, if applicable

(In the space provided below, please explain in detail the reasons for the delay in filing a proper reply.)

The applicant has submitted the response to restriction requirement with petition for extension of time on 04/26/2006. A certificate of mailing has been included in the submitted papers and a mailing receipt with postmark dated 04/26/2006 has been received from the US Post Office. The tracking records from the US Post Office shows that the mail was delivered to the USPTO (Alexandria, VA 22313) on 04/28/2006 and was signed for by W McDougal. Therefore, the non-receipt of the mail of the response to the restriction requirement in the USPTO is unexpected and the abandonment of the application is unavoidable.

(Please attach additional sheets if additional space is needed.)

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**judith wong**

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DAVID & RAYMOND

Commissioner of Patents & TMs.

5111

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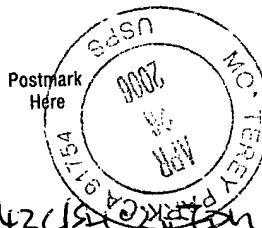
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USP2343C/SH30-FDU EXTENSION FEE

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USP2343C/SH030-FDU

THE FOLLOWING ARE RECEIVED TODAY:

RE.: RESTRICTION REQUIREMENT

APPLICATION NUMBER: 10/810,447

FILING DATE: 03/26/2004

TITLE: Organic Buffer Layer for Organic Light-Emitting  
Device and Producing Method Thereof

APPLICANT: Xiao-Yuan Hou

EXAMINER: Karabi Guharay

GROUP ART UNIT: 2879

RESTRICTION REQUIREMENT: No. of Sheets: 1

EXTENSION OF TIME: 1 MONTH

PAYMENT IN THE AMOUNT OF US\$60.00 BY CHECK 5111